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e through 10/31/2002. OMB 0651-0032 U.S. DEPARTMENT OF COMMERCE ess it displays a valid OMB control number.

## UTILITY **PATENT APPLICATION TRANSMITTAL**

R0056C-DIV Attorney Docket No. First Inventor Sharon Dankwardt, et al. Peptidic Procollagen C-Proteinase Inhibitors Title

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Express Mail Label No. ET 648481798 US

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	APPLICATION ELEMENTS  See MPEP chapter 600 concerning utility patent application contents.			ADDRESS TO:  Assistant Commissioner for Patents Box Patent Application Washington, DC 20231						
	1. 🛛	Fee Transmittal Form (e.g., PTO/S	7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)							
	2. 🗌	Applicant claims small entity status See 37 CFR 1.27.		Nucleotide and/or Amino Acid Sequence Submission     (if applicable, all necessary)						
	3. 🔯	(preferred arrangement set forth below)	al Pages 59 ]	a. Computer Readable Form b. Specification Sequence Listing on:						
		Descriptive title of the Invention     Cross References to Related Applications     Statement Regarding Fed sponsored R & D     Reference to sequence listing, a table,     or a computer program listing appendix		i. ☐ CD-ROM or CD-R (2 copies); or ii. ☐ paper						
kab ani	 			c. Statements verifying identity of above copies  ACCOMPANYING APPLICATIONS PARTS						
		Background of the Invention     Brief Summary of the Invention		9. Assignment Papers (cover sheet & document(s))						
a 1707A, 1704), 1112A (1711), 1274), 1274, 1274), 1274		<ul> <li>Brief Description of the Drawings ( if fill</li> <li>Detailed Description</li> <li>Claim(s)</li> </ul>	ed)		3.73(b) Staten e is an assigne	nent 🛛 Power of ee) Attorney				
	 	- Abstract of the Disclosure	al Sheets ]	11. English Tra	anslation Docu	ment (if applicable)				
		-,		12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations						
	_	or Declaration [Total]  Newly executed (original or copy	13. A Preliminary Amendment							
	b. 🛭	Copy from a prior application (37 (for a continuation/divisional with		<ul> <li>14. ☑ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</li> <li>15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)</li> </ul>						
	е П <b>л</b>									
rigg.	6. Application Data Sheet. See 37 CFR 1.76			<ol> <li>Request and Certification under 35 U.S.C. 122</li> <li>(b)(2)(B)(i). Applicant must attach form PTO/SB/35</li> <li>or its equivalent.</li> </ol>						
the Thirt Been				or its equiv	alent.					
1	18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendm									
	or in an Application Data Sheet under 37 CFR 1.76:  ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/459,201									
[		***************************************	D. LUKTON		Group / Art Unit: 1653					
	under Box	For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.								
		17. CORRESPONDENCE ADDRESS								
	☐ Customer Number or Bar Code Label  (Insert Customer No-or Attgorphy code label here)  or ☐ Correspondence address below									
	Name Roche Bioscience PATENT TRADEMARK OFFICE  Patent Law Dept. M/S A2-250									
ļ		3401 Hillview Avenue				·				
-	City	Palo Alto	State		Zip Code	94304				
Ĺ	Country	USA	Telephone	(650) 855-6995	Fax	(650) 855-5322				
	Agent) Mona Anand R		Registration No. (Attorney/Agent)		34,537					
	Signatur	re Va.	diand		Date	February 7, 2002	2			

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# **FEE TRANSMITTAL** for FY 2002

Patent fees are subject to annual revision.

nplete if Known					
Application Number	New application (herewith)				
Filing Date					
First Named Inventor	Sharon Dankwardt, et al.				
Examiner Name	(unassigned)				
Group / Art Unit	(unassigned)				

TOTAL AMOUNT OF PAYMENT (\$)

1,082.00

Attorney Docket No. R0056C-DIV

METHOD OF PAYMENT (check one)			FEE CALCULATION (continued)				
The Commissioner is hereby authorized to charge			3. ADDITIONAL FEES				
1. ⊠	indicated fees, to charge any additional fees that may be required, or to credit any overpayments to:		Large Entity		Small Entity		
1	required, or to credit any overpayments to.	Fee	Fee	Fee	Fee	For Depointing	Fee
Deposit		Code	(\$)	Code	(\$)	Fee Description	Pald
Account	18-1700	105	130			Surcharge - late filing fee or oath	
Number		127	50			Surcharge - late provisional filing fee or cover sheet.	
Denosit	Roche Bioscience	139	130			Non-English specification	
Deposit Account 3401 Hillview Avenue			2,520			For filing a request for reexamination	
Name Palo Alto, CA 94304			920*			Requesting publication of SIR prior to Examiner action	
Charge An Under 37	113	1,840*			Requesting publication of SIR after Examiner action		
į	115	110			Extension for reply within first month		
2. Payment Enclosed:			400			Extension for reply within second month	
☐ Check	☐ Credit card ☐ Money ☐ Other	117	920			Extension for reply within third month	
<del></del>	Order FEE CALCULATION					Extension for reply within fourth	
		128	1,960			Extension for reply within fifth month	
1. BASIC FIL		119	320			Notice of Appeal	
Large Entity :	Small Entity Fee Fee Fee Description	120	320			Filing a brief in support of an appeal	
	Code (\$) Fee Paid	121	280			Request for oral hearing	
101 740	Utility filing fee 740.	138	1,510			Petition to institute a public use proceeding	
106 330	Design filing fee	140	110			Petition to revive - unavoidable	
107 510	Plant filing fee	141	1,280			Petition to revive - unintentional	
108 740	Reissue filing fee	142	1,280			Utility issue fee (or reissue)	
114 160	Provisional filling fee	143	460			Design issue fee	
1	166	144	620			Plant issue fee	
ļ	SUBTOTAL (1) \$740.	122	130			Petitions to the Commissioner	
		123	50			Processing fee under 37 CFR 1.17 (q)	
2. EXTRA CLAIN	1 FEES Extra Fee from Fee	126	180			Submission of Information Disclosure Stmt	
Total Claims 39	-20 ** = 19 X 18 = 342.	581	40			Recording each patent assignment per property (times number of properties)	
Independent Claims 1	-3 ** = 0 X 84 = 0	146	740			Filing a submission after final rejection (37 CFR § 1.129(a))	
Multiple Dependent	X = 0	149	740			For each additional invention to be examined (37 CFR § 1.129(b))	
Large Entity Fee Fee	Small Entity Fee Fee For Boardatte	179	740		1	Request for Continued Examination (RCE)	
Code (\$)	Code (\$) Fee Description	169	900			Request for expedited examination	┌ <b></b> ┤ !
103 18	Claims in excess of 20	1				of a design application	
102 84	Independent claims in excess of 3	ſ					
104 280 Multiple dependent claim, if not paid							
109 84 ** Reissue independent claims over original patent			fee (specify	/)			لـــــا
110 18 ** Reissue claims in excess of 20 and over original patent			uced by Bas	sic Filin	g Fee Pa	aid SUBTOTAL (3) (\$) 0	
	SUBTOTAL (2) (\$) 1,082.00						
**or number previou							
SUBMITTED BY					Complete (if analicable)		

34,537

Telephone

Date

Registration No. Attorney/Agent)

Signature SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Moga Anand

Name (Print/Type)

Doc.# 116609

650/855-6995

February 7, 2002

### PEPTIDIC PROCOLLAGEN C-PROTEINASE INHIBITORS

### Attorney Docket No. R0056B-Reg

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Sharon Marie Dankwardt Harold Edgar VanWart Keith Adrian Murray Walker

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#### CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence and patent application are being deposited with the U.S. Postal Service as "EXPRESS MAIL - POST OFFICE TO ADDRESSEE" under

37 CFR 1.10 in an envelope addressed to: Assistant Commissioner of Patents,

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Washington D.C. 20231 on DECEMBER 10, 1999.

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